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To: **MAIL STOP RCE**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: August 11, 2004

Number of Pages: Cover + 13

FAX NO. (703) 872-9306

Attn: Examiner Jorge ORTIZ-CRIADO
Art Unit 2655

From: Caroline Do, Esq., Reg. No. 47,529

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RE Request for Continued Examination
U.S. Patent Application Serial No.:
09/932,648
TITLE: METHOD AND
APPARATUS FOR TRACKING
SERVO SYSTEM
Filing Date: August 17, 2001
Confirmation No.: 4581
Inventor: Chin-Shiong Tsai, et al.
Attorney Docket No.: DE 2312.02 US

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August 11, 2004

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Alexandria, VA 22313-1450

CUSTOMER NUMBER
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RE: Request for Continued Examination
U.S. Patent Application Serial No.: 09/932,648
TITLE: METHOD AND APPARATUS FOR TRACKING SERVO SYSTEM
Filing Date: August 17, 2001
Confirmation No.: 4581
Inventor: Chin-Shiong Tsai, et al.
Attorney Docket No.: DE 2312.02 US

Dear Sir:

Enclosed for filing in the above-referenced patent application are the following documents:

1. RCE Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Amendment and Response Under 37 C.F.R. §1.116 (10 pages), filed July 2, 2004;
4. Cover Letter;
5. Certificate of Facsimile, dated August 11, 2004.

Please acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

A handwritten signature in cursive script, appearing to read 'Caroline Do'.

Caroline Do, Reg. No. 47,529
Senior Patent Prosecution Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

CD:lf

Enclosures

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CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office Fax No. (703) 872-9306 on:

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Lori Fukuhara
(Type or print name)

A handwritten signature in cursive script, appearing to read 'Lori Fukuhara'.
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PTO/SB/17 (10-03)
Approved for use through 07/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00

Complete if Known

Application Number 09/932,648
Filing Date August 17, 2001
First Named Inventor Chin-Shiong TSAI
Examiner Name Jorge ORTIZ-CRIADO
Art Unit 2655
Attorney Docket No. DE 2312.02 US

METHOD OF PAYMENT (check all that apply)

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Deposit Account Name

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUB TOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = x =
Independent Claims -3** = x =
Multiple Dependent =

Large Entity	Small Entity	Fee Description	Fee Paid
1202 18	2202 9	Claim in excess of 20	
1201 85	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 85	2204 43	Reissue independent claims over original patent	
1205 18	2205 9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,620	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 960	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 65	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 490	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1400 130	2400 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt.	
8021 40	2801 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 C.F.R. 1.120(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.120(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 770.00

SUBMITTED BY

Name Printed/Typed Caroline Do, Esq.

Registration No. 47,529

Attorney / Agent

Complete (if applicable)

Telephone (949)660-5000

Date August 11, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO 2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-0168 and select option 2.

For: METHOD AND APPARATUS
FOR TRACKING SERVO
SYSTEM

Docket No.: DE 2312.02 US CENTRAL FAX CENTER

AUG 11 2004

AMENDMENT AND RESPONSE UNDER 37 CFR §1.116

MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the outstanding final Office Action mailed May 3, 2004, please amend the above-identified Application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims that begins on page 3 of this paper.

Amendments to the Drawings begin on page 6 of this paper and include an attached replacement sheet.

Remarks/Arguments begin on page 7 of this paper.

